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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.L/

Notice of References Cited

Application/Control No.

09/405,920

Applicant(s)/Patent Under
Reexamination
CARILLO ET AL.

Examiner

Anne M Beckerleg

Art Unit

1632

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US- -			
	B	US- -			
	C	US- -			
	D	US- -			
	E	US- -			
	F	US- -			
	G	US- -			
	H	US- -			
	I	US- -			
	J	US- -			
	K	US- -			
	L	US- -			
	M	US- -			

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	- -				
	O	- -				
	P	- -				
	Q	- -				
	R	- -				
	S	- -				
	T	- -				

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Marshall; Gene Therapy 's Growing Pains, 1995, Science, Vol. 269: 1050-1055.
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/405,920		
		Filing Date	September 24, 1999		
		First Named Inventor	Serge CARILLO et al.		
		Art Unit	1632		
		Examiner Name	A. Beckerleg		
Sheet	1	of	2	Attorney Docket Number	ST94037

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number, Kind Code ² (if known)			
	A1	US-			
	B1	US-			
	C1	US-			
	O1	US-			
	E1	US-			
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	I1	US-			
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	K1	US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	FA1	WO 00/21575	04-20-2000	Atencio		
	FA2					
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Examiner Signature	<i>[Signature]</i>	Date Considered	1/28/03
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO		Complete if Known	
		Application Number	09/405,920
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	September 24, 1999
		First Named Inventor	Serge CARILLO et al.
		Group Art Unit	1632
		Examiner Name	A. Beckerleg
		Attorney Docket Number	ST94037A
Sheet	2	of	2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ²	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
AC		Wagenknecht et al., "Proteasome Inhibitors Induce p53/p21-Independent Apoptosis in Human Glioma Cells", Cell Physiol Biochem 1999; 9:117-125	
		An et al., "Protease inhibitor-induced apoptosis: accumulation of wt p53, p51 WAF1/CIP1, and induction of apoptosis are independent markers of proteasome inhibition", Leukemia (2000) 14; 1276-1283	
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		Carafoli, et al., "Breakthroughs and Views Calpain: A Protease in Search of a Function?", Biochemical and Biophysical Research Communications 247, 193-203 (1998)	

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Notice of References CitedApplication No.
09/405,920

Applicant(s)

Carlillo et al.

Examiner

Gal (Jennifer) Mi Lee

Group Art Unit

1632

Page 1 of 3

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	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,629,165	5/1997	Nixon et al.	435	7.21
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V	Bischoff et al., "An adenovirus mutant that replicates selectively in p53-deficient human tumor cells." Science, Vol. 274 : 373-376.	10/1996
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Page 2 of 3

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V	Verma et al., "Gene therapy- promises, problems and prospects." <i>Nature</i> , Vol. 389: 239-242.	9/1997
W	Deonarain, MP., "Ligand-targeted receptor-mediated vectors for gene delivery." <i>Exp. Opin. Ther. Patents</i> , Vol. 8 (1): 53-69.	1998
X	Eck et al., "Gene-based therapy." <i>Goodman & Gilman's The Pharmacological Basis of Therapeutics</i> , McGraw-Hill-Ninth Edition: 77-101.	1996

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Page 3 of 3

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U	Orkin et al., "Report and recommendations of the panel to assess the NIH investment in research on gene therapy." Pages 1-20.	12/1995
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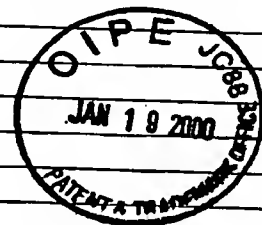


PTO/SB/08A (6-95)
Approved for use through 09/30/98, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1449A/PTO		U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
LIST OF PRIOR ART CITED BY APPLICANT (use as many sheets as necessary)				Application Number	09/405,920
				Filing Date	September 24, 1999
				First Named Inventor	Carillo et al.
				Group Art Unit	1614
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	ST94037 A-US

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>SM</i>	AA	4,788,219		Sakurai et al.	11-29-1988	
<i>SM</i>	AB	5,496,731		Xu et al.	03-05-1996	



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Examiner Initials	Cite No.	Foreign Patent Document Office Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
<i>SM</i>	FA	EP	159 678	Sakurai et al.	10-30-1985		
<i>SM</i>	FB	EP	395 309	Asada et al.	10-31-1990		
<i>SM</i>	FC	WO	92/21373	Logothetou-Rella	12-10-1992		
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<i>SM</i>	FE	EP	569,122	Omura et al.	11-10-1993		
<i>SM</i>	FF	EP	580 161	Saito et al.	01-26-1994		
<i>SM</i>	FG	WO	94/21817	Henkart et al.	09-29-1994		

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Unique citation designation number. See attached kinds of U.S. Patent Documents. Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 if possible. Applicant is to place a check mark here if English language Translation is attached.

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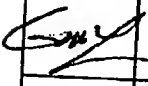
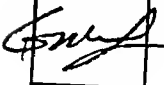





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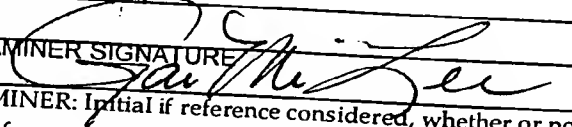
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1449A/PTO		U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
LIST OF PRIOR ART CITED BY APPLICANT (use as many sheets as necessary)				Application Number	09/405,920
				Filing Date	September 24, 1999
				First Named Inventor	Carillo et al.
				Group Art Unit	1614
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	ST94037 A-US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.
	PA	Levero et al., Defective and Nondefective Adenovirus Vectors for Expressing Foreign Genes In Vitro and In Vivo, Gene, 101, 195-202, (1991)
	PB	Maki et al., Inhibition of Calpain by a Synthetic Oligopeptide Corresponding to an Exon of the Human Calpastatin Gene, Journal of Biol. Chemistry, 264, (32), 18866-18869, (1989)
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	PG	Orkin et al., Report & Recommendations Of The Panel To Assess The NIH Investment In Research On Gene Therapy, NIH (1995)
	PH	Carillo et al., Differential sensitivity of FOS and JUN family members to calpains, Oncogene 9, 1679-1689 (1994)

EXAMINER SIGNATURE 	DATE CONSIDERED 6/28/00
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP/609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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TO SEPARATE, HOLD TOP AND BOTTOM EDGES, SNAP-APART AND DISCARD CARBON

FORM PTD-892 (REV. 2-92)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SERIAL NO. 81737,953	GROUPART UNIT 1632	ATTACHMENT TO PAPER NUMBER 13			
NOTICE OF REFERENCES CITED				APPLICANT(S) <i>Car. Ho et al</i>					
U.S. PATENT DOCUMENTS									
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FOREIGN PATENT DOCUMENTS									
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	O								
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EXAMINER: <i>P. Langford</i>		DATE 7/16/98							
* A copy of this reference is not being furnished with this office action. See Manual of Patent Examining Procedure section 707.05(a)									
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Sheet	1	of	2
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19

U.S. PATENT DOCUMENTS

Complete if Known

Application Number	08/737,953
Filing Date	27 November 1996
First Named Inventor	Carillo et al.
Group Art Unit	1819
Examiner Name	A. Razzaque
Attorney Docket Number	ST94073-US

Class / subclass

Pages, Columns,
Lines, Where Relevant
Passages or Relevant
Figures Appear

U.S. Patent Document

Examiner's	Cite
Initials	No. 1

RA	AA	4,788,219		Sakurai et al.	11-29-1988
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11-29-1988

FOREIGN PATENT DOCUMENTS

Foreign Patent Document

Examiner
Initials

Cite
No. ¹

Office³ Number⁴Kind Code
(if known)Name of Patentee or Applicant
of Cited Document

Date of Publication
of Cited Document
MM-DD-YYYY

Pages, Columns,
Lines, Where Relevant
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Figures Appear

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FA	EP	159 678
FB	EP	395 309
FC	WO	93/02106
FD	EP	569 122
FE	EP	580 161
FF	WO	94/21817

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10-30-1985
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11-10-1993
01-26-1994
09-29-1994

EXAMINER SIGNATURE

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LIST OF PRIOR ART CITED BY APPLICANT

(use as many sheets as necessary)

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Sheet 2 of 2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.

T2

Carillo et al., Differential sensitivity of FOS and JUN family members to calpains, Oncogene 9, 1679-1689 (1994)

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PTO 892 DEA/FCE 1994 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE NOTICE OF REFERENCES CITED	SERIAL NUMBER 08/737,953	Art Unit 1819	Attachment to Paper Number 10
APPLICANT(S) : Carillo et al			

U.S. PATENT DOCUMENTS							
*		DOCUMENT NUMBER	DATE	NAME(S)	CLASS	SUBCLASS	FILING DATE
	✓	5,496,731	3/96	Xu et al	435	320.1	

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*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS	PERTINENT DRW	SPEC
	✓	92/21373	12/92	WO	Logothetou- Rella	---	---	---	---

*		OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	✓	Y Emori et al (1988) J Biol Chem 263: 2364-2370
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SERIAL NO.

GROUP/ART UNIT

ATTACHMENT
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PAPER
NUMBER

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1819

NOTICE OF REFERENCES CITED

APPLICANT(S)

Carillo et al

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R	Levy et al (1991) Chem 101: 195-202
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/Scott Long/ (07/21/2010)

DATE

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* A copy of this reference is not being furnished with this office action.
(See Manual of Patent Examining Procedure, section 707.05 (a).)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.L./